



PATENT  
81876.0071

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kenichi FUKUMOTO

Serial No: 10/501,795

Filed: July 16, 2004

For: DC-AC CONVERTER AND CONTROLLER IC  
THEREFOR

Art Unit: 2838

Examiner: NGUYEN, M.V.

**TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

OR FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

AND FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).

4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of   6   reference(s) is in the enclosed Form PTO-1449.

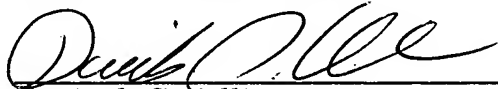
#### NON-ENGLISH LANGUAGE REFERENCES

- ☒ Enclosed is a Taiwanese Office Action for a counterpart application.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

Date: August 12, 2005

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**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

AUG 15 2005

(Use several sheets if necessary)

Applicant

Kenichi FUKUMOTO

Filing Date

July 16, 2004

Group Art Unit

2838

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE     | NAME         | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|-----------------|----------|--------------|-------|----------|-------------------------------|
|                     | 6,259,615       | 07/10/01 | Yung-Lin LIN |       |          |                               |
|                     | 6,396,722       | 05/28/02 | Yung-Lin LIN |       |          |                               |
|                     |                 |          |              |       |          |                               |
|                     |                 |          |              |       |          |                               |
|                     |                 |          |              |       |          |                               |
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|                     |                 |          |              |       |          |                               |
|                     |                 |          |              |       |          |                               |
|                     |                 |          |              |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

|  | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----------------|----------|---------|-------|----------|-------------|----|
|  |                 |          |         |       |          | YES         | NO |
|  | 478240          | 03/01/02 | Taiwan  |       |          |             | X  |
|  |                 |          |         |       |          |             |    |
|  |                 |          |         |       |          |             |    |
|  |                 |          |         |       |          |             |    |
|  |                 |          |         |       |          |             |    |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|  |   |
|--|---|
|  | "Pulse-Width-Modulation Control Circuits", Texas Instruments, May 2002        |
|  | "BiCMOS Advanced Phase Shift PWM Controller", Texas Instruments, January 2001 |
|  | "High Power Factor Preregulator", Texas Instruments, 1999                     |
|  |   |
|  |   |
|  |   |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.